


Emergency Preparedness Plan (EPP) Series 1: Hazard Vulnerability Assessment (HVA)

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February 15, 2023

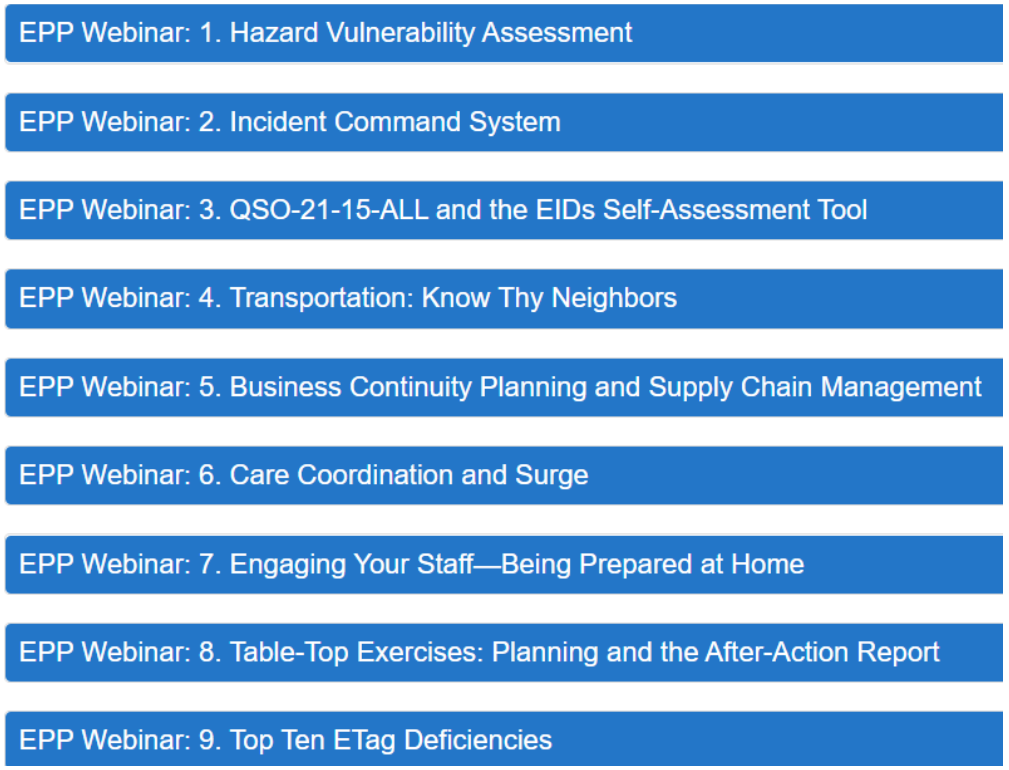
HSAG HEALTH SERVICES ADVISORY GROUP

Objectives

- Identify 2023 EPP Educational Opportunities
- Highlight the HSAG EPP Website
- Explore HVAs, Tools, and Results

EPP Webinars

- 3rd Wednesday of the month, 3–3:45 p.m. PT
- Register at: bit.ly/epp-series



HSAG EPP Website

Emergency Preparedness

Healthcare providers, community-based organizations, and individuals in the community need to be ready to respond to emergencies, including Emerging Infectious Diseases (EIDs) and viral outbreaks such as COVID-19 or influenza, which can spread quickly and require a rapid, robust response to minimize spread. It is vital that all entities self-assess their ability to manage emergencies, are prepared with comprehensive and actionable emergency preparedness plans (EPPs), and are armed with checklists to operationalize logistics in the event of an emergency or healthcare crisis.

Register for the Emergency Preparedness Webinars

February 15–October 18, 2023 (Sessions 1–9)
3rd Wednesday of the month, 3–3:45 p.m. PT
bit.ly/epp-series

Nursing Homes

HSAG is supporting nursing homes to ensure that your center's EPP encompasses EIDs as stated in QSO-21-15-ALL. Below is a stream-lined EID self-assessment checklist to download and complete with your team. This will give you a barometer reading of your center's EID preparedness strengths and opportunities for futher focus. After completing the checklist please submit your EID results using the button below so HSAG can offer your center specific assistance.

Download Nursing Home EID Checklist

Submit Nursing Home EID Checklist

Hospitals

HSAG is querying hospitals to attest that an EPP is in place. Please submit your responses to these three questions by using the button below. HSAG is available to provide EPP support to hospitals in need of assistance.

Attest Hospital EPP Is in Place

Emergency Preparedness Webinars

Need Help With Your EPP?

Hospitals

- Care Coordination
- **Emergency Preparedness**
- Infection Prevention
- Opioid Stewardship
- QIO Events

https://www.hsag.com/emergency-preparedness

Poll Questions

1. Do you need assistance with your EPP?
    a. Yes
    b. No
2. **Nursing Homes Only:**
   What template are you using for your EPP?
    a. CAHF
    b. MED-PASS
    c. American Health Care Association (AHCA)
    d. Other

Santa Clara County
PUBLIC HEALTH

HVA Training

FEBRUARY 15, 2023

Welcome and Opening Remarks

Marina Zamarron, MPA
Healthcare Coalition Coordinator
County of Santa Clara Public Health Department, Emergency Preparedness

Yvette Barajas
SNF Coordinator
County of Santa Clara Public Health Department, Emergency Preparedness

Jason Belden
Director of Emergency Preparedness
CAHF

Agenda

- Welcome
- HVA Overview – ***Jason Belden***
- HVA Tool and Results – ***Marina Zamarron & Yvette Barajas***
- Questions and Closing – ***All***

What is an HVA?

Hazard Vulnerability Assessment (HVA) is a Risk Assessment.

Based off of the assessment the administrator will guide their Planning, Response, Mitigation, and Exercise cycle.

HVA Requirements

CMS: Emergency Plan, which includes conducting facility-based and community-based risk assessments

- Every 2 years, except for long-term care facilities (LTCFs), which must review and update on annual basis

TJC EM.11.01.01:
The hospital conducts a HVA utilizing an all-hazards approach

Note: *The hospital considers prioritized hazards identified in HVA in emergency operations plan (EOP), Communications Plan, Staffing Plan, and plans for patient care and clinical support, safety and security, resources and assets, utilities management, etc.*

Who?

- Generally conducted by a safety, response planner and or a risk management type professional; i.e., other duties as assigned for you or your staff
- How often: Annually
- Beneficial to obtain stakeholder input, so in other words, don't let the person who is "voluntold" to do this do this alone. Each department should have input.
- *Requirements: TJC, DNV, HFAP, and CMS*

The Tool

- The tool we are presenting today is the Kaiser Hospital Vulnerability Assessment tool
- The majority of hospitals and healthcare facilities use the Kaiser tool because it's easy to navigate and understand
- Provides hazard descriptions to support completion of the assessment
- This tool calculates the level for each hazard:
  SEVERITY = (MAGNITUDE – MITGATION) to give you RISK
- Includes Incident Log to track real world events and Command Center activations, to be tracked throughout the year

Emerging Infectious Disease (EID)—CMS Policy

- EIDs are a potential threat which can impact the operations and continuity of care within a healthcare setting and should be considered.
- The type of infectious diseases to consider or the care-related emergencies that are a result of infectious diseases are not specified.
- Adding EIDs within a facility's risk assessment ensures that facilities consider having infection prevention personnel involved in the planning, development, and revisions to the EPP.

EID—CMS Policy (cont.)

- Some examples of EIDs may include, but are not limited to:
  - Potentially infectious bio-hazardous waste
  - Bioterrorism
  - Pandemic flu
  - Highly communicable diseases (such as Ebola, Zika Virus, SARS, or novel COVID-19 or SARS-CoV-2)
- EIDs may be localized to a certain community or be widespread (as seen with the COVID-19 PHE) and therefore plans for coordination with local, state, and federal officials are essential.
- Facilities should engage and coordinate with their local healthcare systems and healthcare coalitions, and their state and local health departments when deciding on ways to meet surge needs in their community.

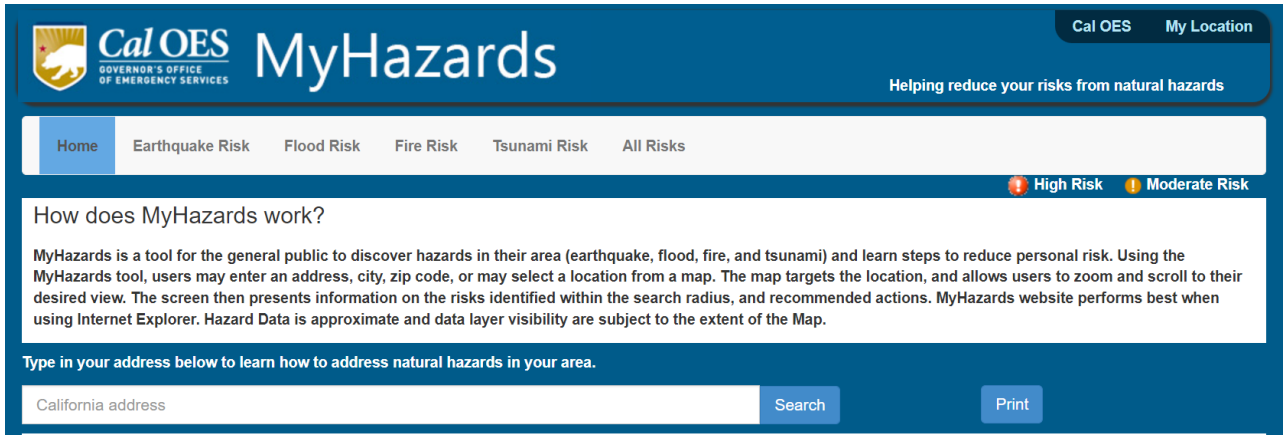
Long Term Care Requirements (hhs.gov)

Benefits

- Once there are multiple years' worth of data that has been collected, you can benchmark your efforts to show improvement.
- Allows you to focus on areas that need improvement.
- CMS during survey will ask to see this; *So will other accrediting bodies*
- Your Preparedness Coordinator won't be guessing as to what is needing to be exercised.
- Insurance benefits: This tool is directly related to risk management, the more exercised the lesser your risk.
- Engage in emergency preparedness healthcare coalition trainings and exercises

The Hazards

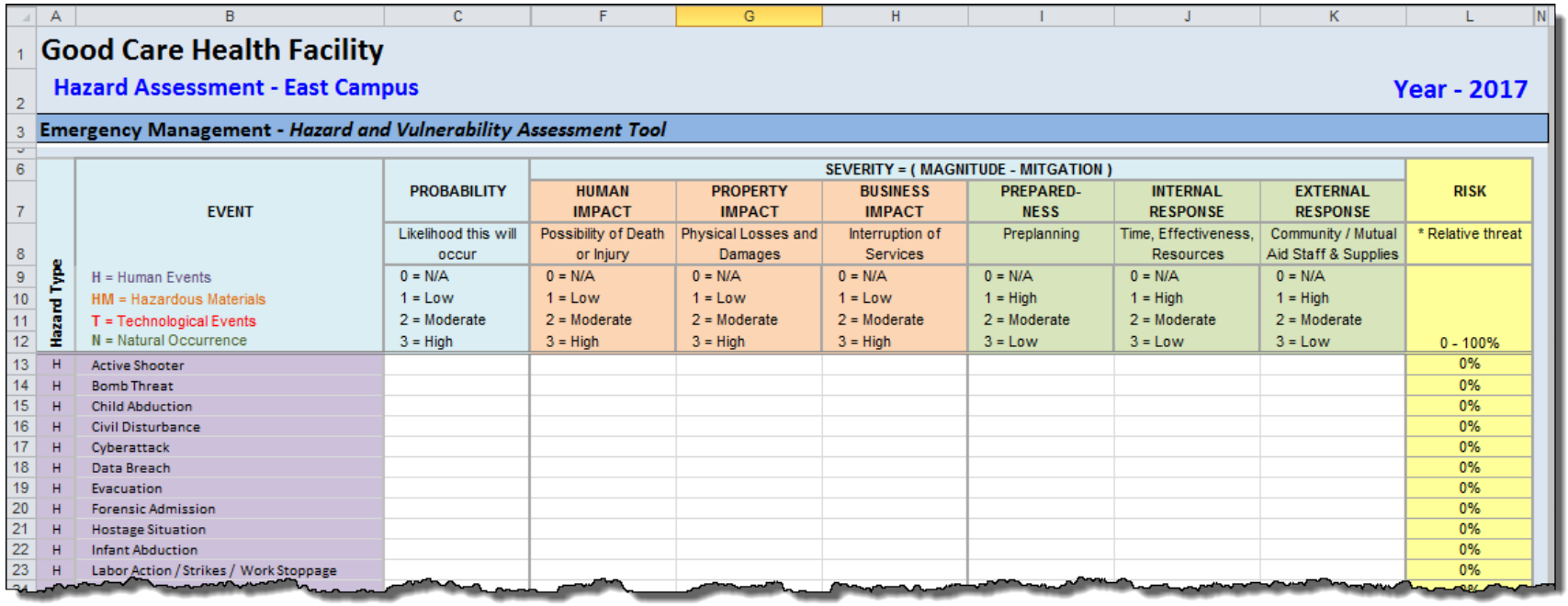
- Gather data on geographical vulnerabilities - https://myhazards.caloes.ca.gov/



- Gather any real-world incident that impacted your daily operations from the previous year(s):
  - Cyber security incident
  - Fire
  - Power outage
  - Infectious disease outbreak

Santa Clara Valley Emergency Preparedness Healthcare Coalition

Kaiser HVA Tool



Good Care Health Facility

Hazard Assessment - East Campus — **Year - 2017**

Emergency Management - *Hazard and Vulnerability Assessment Tool*

Hazard Type	EVENT	PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)						RISK
			HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	
		Likelihood this will occur	Possibility of Death or Injury	Physical Losses and Damages	Interruption of Services	Preplanning	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies	* Relative threat
H = Human Events		0 = N/A	0 = N/A	0 = N/A	0 = N/A	0 = N/A	0 = N/A	0 = N/A	
HM = Hazardous Materials		1 = Low	1 = Low	1 = Low	1 = Low	1 = High	1 = High	1 = High	
T = Technological Events		2 = Moderate	2 = Moderate	2 = Moderate	2 = Moderate	2 = Moderate	2 = Moderate	2 = Moderate	
N = Natural Occurrence		3 = High	3 = High	3 = High	3 = High	3 = Low	3 = Low	3 = Low	0 - 100%
H	Active Shooter								0%
H	Bomb Threat								0%
H	Child Abduction								0%
H	Civil Disturbance								0%
H	Cyberattack								0%
H	Data Breach								0%
H	Evacuation								0%
H	Forensic Admission								0%
H	Hostage Situation								0%
H	Infant Abduction								0%
H	Labor Action / Strikes / Work Stoppage								0%

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Kaiser HVA Tool

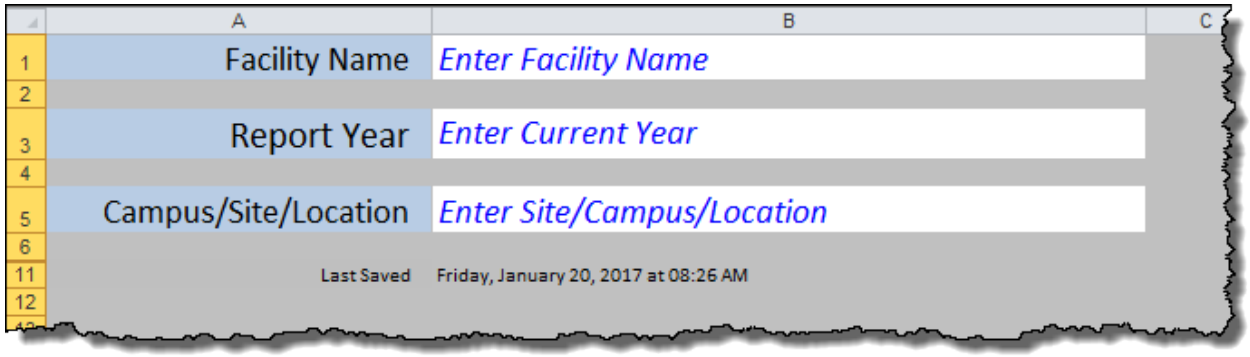
4 Tabs

- Facility Info
- Hazards
- Event Log
- Summary

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Kaiser HVA Tool

Facility Info



	A	B	C
1	Facility Name	*Enter Facility Name*	
2			
3	Report Year	*Enter Current Year*	
4			
5	Campus/Site/Location	*Enter Site/Campus/Location*	
6			
11	Last Saved	Friday, January 20, 2017 at 08:26 AM	
12			

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Kaiser HVA Tool

Hazards

Good Care Health Facility

Hazard Assessment - East Campus — **Year - 2017**

Emergency Management - *Hazard and Vulnerability Assessment Tool*

Hazard Type	EVENT	PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)						RISK
			HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	
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H	Active Shooter								0%
H	Bomb Threat								0%
H	Child Abduction								0%
H	Civil Disturbance								0%
H	Cyberattack								0%
H	Data Breach								0%
H	Evacuation								0%
H	Forensic Admission								0%
H	Hostage Situation								0%
H	Infant Abduction								0%
H	Labor Action / Strikes / Work Stoppage								0%

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Completing The HVA

Probability

Severity

- Human Impact
- Property Impact
- Business Impact
- Preparedness
- Internal Response
- External Response

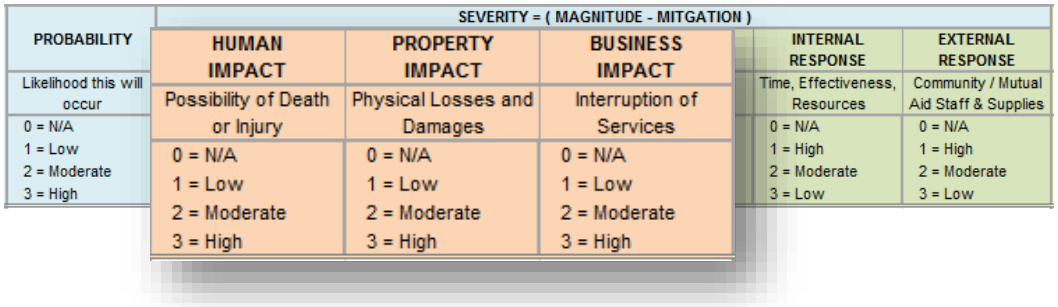
PROBABILITY
Likelihood this will occur
0 = N/A
1 = Low
2 = Moderate
3 = High

SEVERITY = (MAGNITUDE - MITGATION)					
HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE
Possibility of Death or Injury	Physical Losses and Damages	Interruption of Services	Preplanning	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies
0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = High 2 = Moderate 3 = Low	0 = N/A 1 = High 2 = Moderate 3 = Low	0 = N/A 1 = High 2 = Moderate 3 = Low

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Completing The HVA

Probability



PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)				
	HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	INTERNAL RESPONSE	EXTERNAL RESPONSE
Likelihood this will occur	Possibility of Death or Injury	Physical Losses and Damages	Interruption of Services	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies
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Severity

- Human Impact
- Property Impact
- Business Impact

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Completing The HVA

Probability

PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)					
	HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE
Likelihood this will occur	Possibility of Death or Injury	Physical Losses and Damages	Interruption of Services	Preplanning	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies
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Severity

- Human Impact
- Property Impact
- Business Impact
- Preparedness
- Internal Response
- External Response

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Completing The HVA

Risk

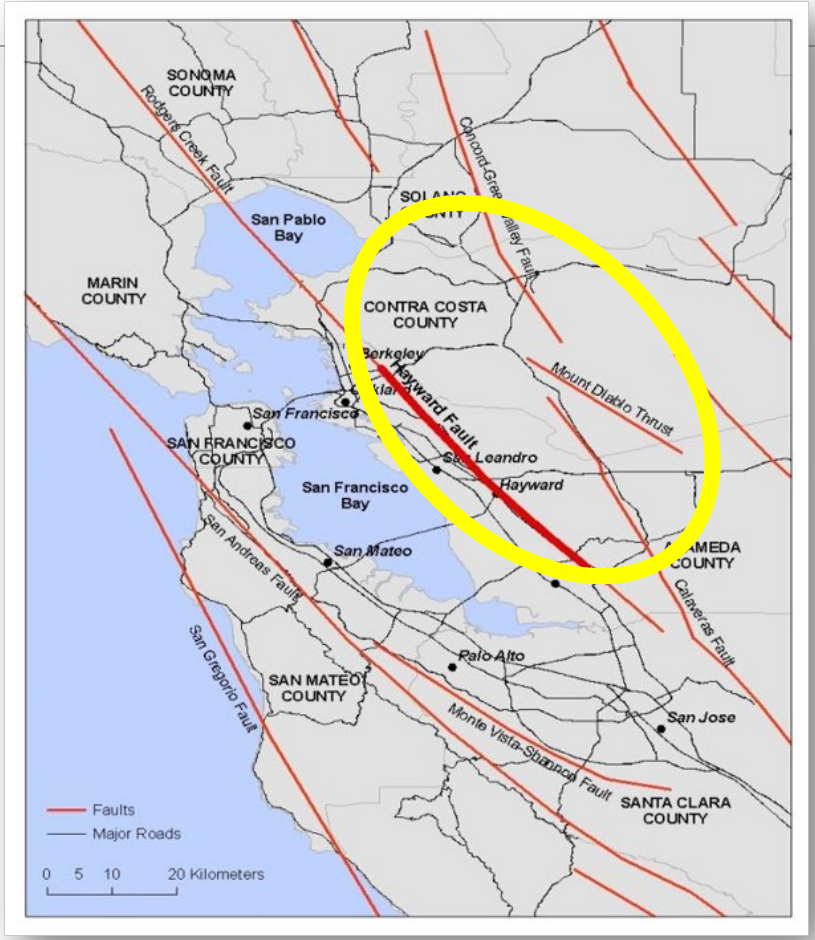
- *Risk is an Automatic Calculation on spreadsheet*

PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)						RISK
	HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	
Likelihood this will occur	Possibility of Death or Injury	Physical Losses and Damages	Interruption of Services	Preplanning	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies	* Relative threat
0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = Low 2 = Moderate 3 = High	0 = N/A 1 = High 2 = Moderate 3 = Low	0 = N/A 1 = High 2 = Moderate 3 = Low	0 = N/A 1 = High 2 = Moderate 3 = Low	0 - 100%

- Risk = Probability x Severity
  - Probability = Divide the number under probability by 3
  - Severity = Add the numbers from each column and divide be 18

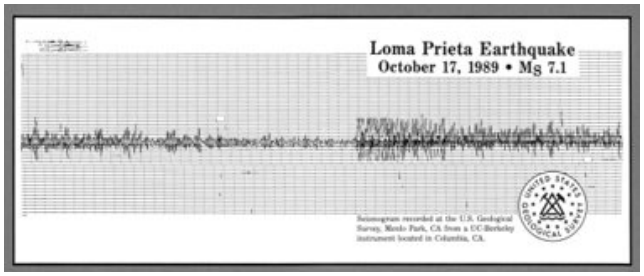
HVA Exercise

Bay Area Faults



HVA Exercise

Catastrophic Event: Earthquake



Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Completing The HVA - Sample

EVENT	PROBABILITY	SEVERITY = (MAGNITUDE - MITGATION)						RISK
		HUMAN IMPACT	PROPERTY IMPACT	BUSINESS IMPACT	PREPARED-NESS	INTERNAL RESPONSE	EXTERNAL RESPONSE	
	Likelihood this will occur	Possibility of Dealth or Injury	Physical Losses and Damages	Interuption of Services	Preplanning	Time, Effectiveness, Resources	Community / Mutual Aid Staff & Supplies	* Relative threat
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Earthquake	3	3	2	3	2	3	2	83%

Santa Clara Valley Emergency Preparedness Healthcare Coalition (cont.)

Summary Tab

- *Top 10 HVA*

**Automatic calculation*

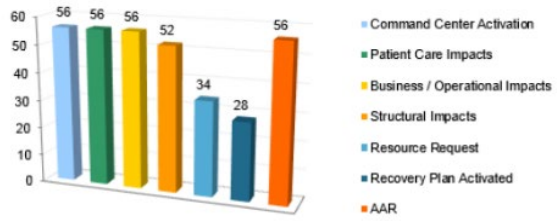
Completing The HVA

Good Care Health Facility

Hazard Summary - East Campus **Year - 2015**

Emergency Management - Hazard and Vulnerability Assessment Tool

ALERT TYPE	OCCURRENCE
Command Center Activation	56
Patient Care Impacts	56
Business / Operational Impacts	56
Structural Impacts	52
Resource Request	34
Recovery Plan Activated	28
AAR	56
Total Alert	**82**



2015

TOP 10 HVA	RANK	OCCURRENCE
Flood	1	12
Fire	2	4
Patient Surge	3	13
Power Outage	4	14
Earthquake	5	10
HVAC Failure	6	6
Evacuation	7	12
Explosion	8	2
IT System Outage	9	6
Water Contamination	10	0

2015

TOP 10 ACTUAL ALERTS	OCCURRENCE	HVA RANK
Power Outage	14	4
Patient Surge	13	3
Evacuation	12	7
Flood	12	1
Earthquake	10	5
IT System Outage	6	9
HVAC Failure	6	6
Fire	4	2
Explosion	2	8
Supply Chain Shortage / Failure	2	11

I am done, now what?

- Share this report with your stakeholders, emergency preparedness healthcare coalition
  - ASPR Health Care Readiness Near You: link
- Share with upper echelon leaders, since the HVA drives the exercise cycle this will informally alert them to what the year will look like for preparedness efforts.
- Identify resources you might need to plan for top 5 hazards:
  - Earthquake
    - 96 hours of supplies for residents
  - Infectious disease
    - 30-day supply of appropriate personal protective equipment (PPE)
  - Extreme weather events
    - Generators
    - Alternate methods of communications to City/County Emergency Operations Center

Closing and Final Questions

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3 Things to Do by Next Wednesday

- Confirm that the EPP committee includes the infection preventionist.
- Ensure your HVA addresses: pandemic flu, EIDs, potentially infectious bio-hazardous waste, and bioterrorism.
- Schedule completion of the HVA with the EPP committee.

Questions?


Thank you!

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