



SNF Facility Assessment Office Hours

Health Services Advisory Group (HSAG)

Objectives



Review the Centers for Medicare & Medicaid Services (CMS) regulatory requirements for the facility assessment.



Identify key components of the facility assessment and review a tool to support nursing homes.



Facilitate a discussion and answer questions from attendees about the facility assessment.

Waterfall Question



- What keeps you up at night in preparation for the August 8 facility assessment deadline?



QUESTIONS?

Please Enter Your Questions
in the Chat!

F838—Facility Assessment

The facility must conduct and document a facility-wide assessment to determine what resources are necessary to care for its residents competently during both day-to-day operations (**including nights and weekends**) and emergencies.

The facility must review and update that assessment, as necessary, and at least annually. The facility must also review and update this assessment whenever there is, or the facility plans for, any change that would require a substantial modification to any part of this assessment (§483.71).

Facility Assessment Updates

The facility assessment includes an evaluation of the resident population, and its needs (e.g., acuity) based on **evidence-based, data-driven methods** (MDS, PBJ, etc.)

The facility assessment must:

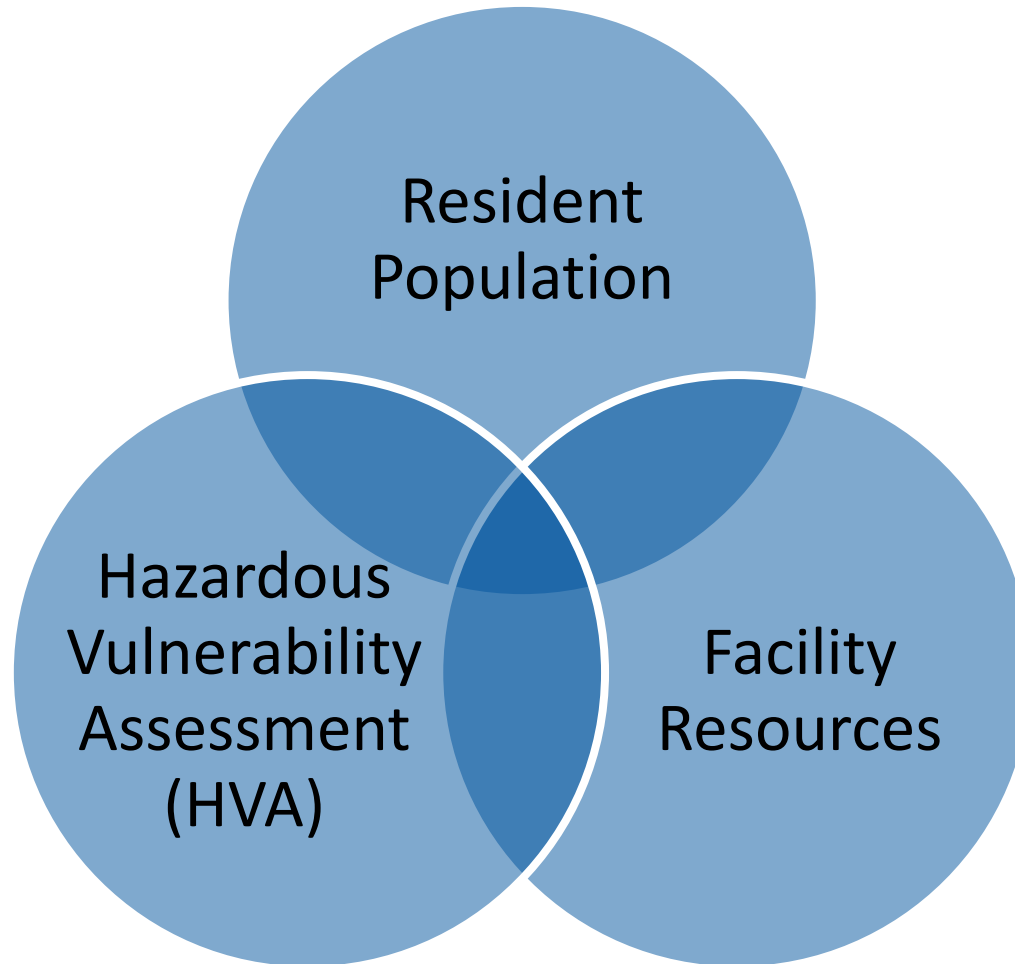
- Reflect the existing resident population.
 - Address the facility's resident capacity.
 - Include information on the staffing level(s) needed for specific shifts.
 - Day/evening/night and adjusted as necessary based on changes to resident.
 - Address skill set and required competencies for those providing care.
 - Include all equipment, supplies, services, personnel, health information technology, and physical environment required to meet all resident needs.
- Include a plan for maximizing recruitment and retention of direct care staff.
 - Incorporate a contingency plan for events that do not require activation of the facility's emergency plan but do have the potential to affect resident care.
 - Availability of direct care nurse staffing or other resources needed for resident care.

Facility Assessment Intent

- Evaluate the facility's resident population.
- Identify the resources needed to provide person-centered care and services the residents require.
- Ensure the facility has an efficient process for consistently assessing and documenting the resources and staff necessary to provide ongoing care that is customized to specific needs for its population.



Key Components



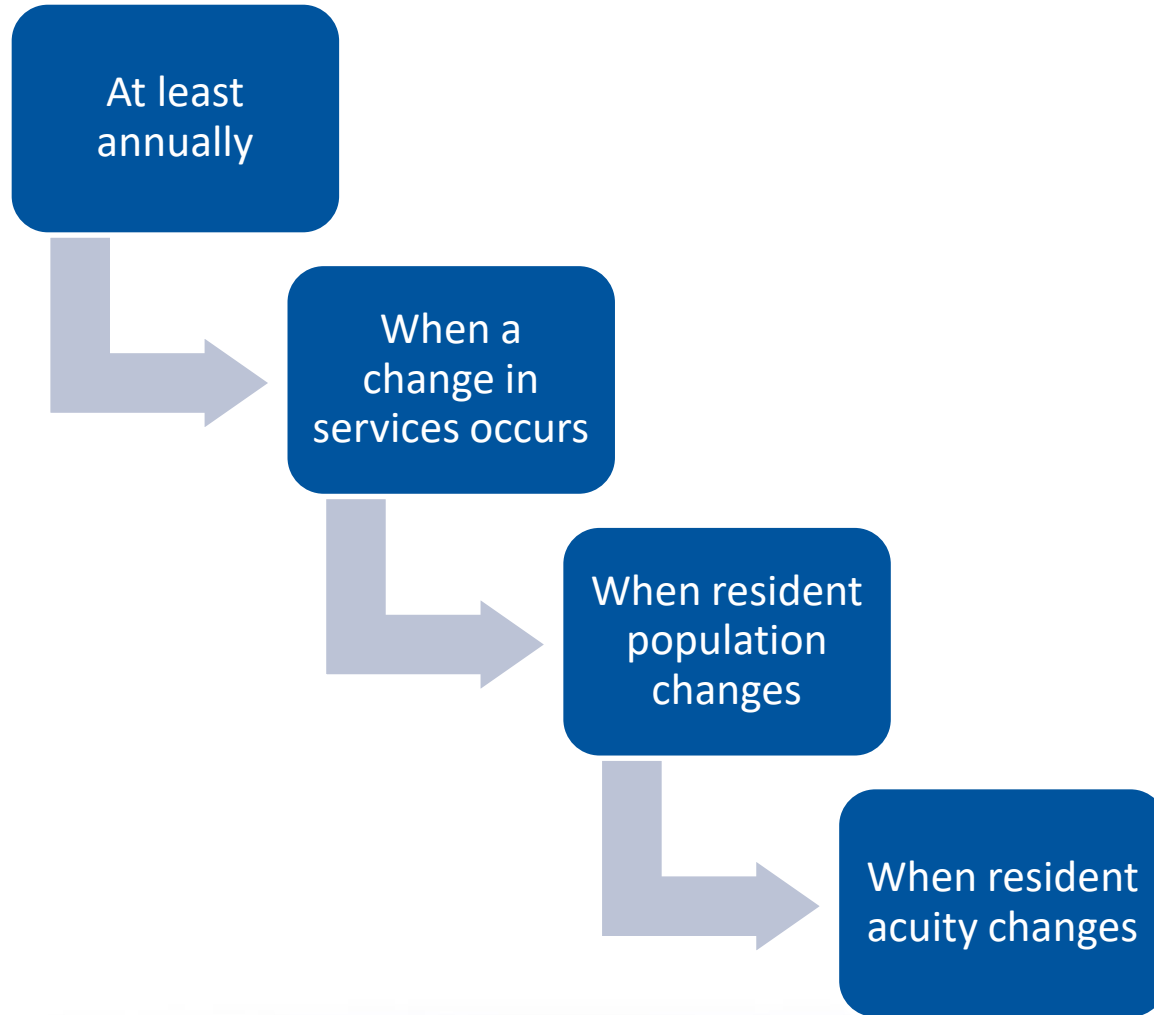
Active Team Involvement

The facility assessment is conducted with input from the following individuals:

- Governing body
- Medical director
- Administrator
- Director of nursing
- Direct care staff (RNs, LPNs, CNAs)
- Representative of direct care staff
- Input from residents and their representatives/family members



When to Update Facility Assessment



Questions Surveyors Can Ask

- Does the facility assessment include an evaluation of the resident population, and its needs (e.g., acuity) based on evidence-based, data driven methods?
- Does this reflect the population observed?
- Does it address the facility's resident capacity?
- Does the facility assessment include information on the staffing level(s) needed for specific shifts, such as day, evening, and night, and adjusted as necessary based on changes to resident population?
- Does the facility assessment address what skills and competencies are required by those providing care?

Questions Surveyors Can Ask (cont.)

- Was the facility assessment conducted with input from the individuals stated in the regulation (483.71(b))?
- Does the facility assessment indicate what resources, including but not limited to, equipment, supplies, services, personnel, health information technology, and physical environment are required to meet all resident needs?
- Does the facility have a plan for maximizing recruitment and retention of direct care staff?
- Does the facility assessment include a contingency plan that is informed by the facility assessment?

HSAG Facility Assessment Tool

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Facility Assessment Tool

Requirement

The facility must conduct and document a facility-wide assessment to determine what resources are necessary for its residents competently during both day-to-day operations (including nights and weekends) and emergencies. The facility must review and update that assessment, as necessary, and at least annually. The facility must also review and update this assessment whenever there is, or the facility plans for, any change that requires a substantial modification to any part of this assessment (§483.71).

The regulatory requirement for the Facility Assessment is found in Attachment 1.

Purpose

Use this assessment to make decisions about the facility's direct care staff member needs and their care provide services to the residents in the facility. Using evidence-based, data-driven methods and a common based approach focuses on ensuring that each resident is provided care that allows the resident to maintain his or her highest practicable physical, mental, and psychosocial well-being. The Facility Assessment is a foundation for the facility to assess its resident population and determine the direct care staffing and resources to provide the required care to their residents.

The intent of the Facility Assessment is for the facility to evaluate its resident population and identify resources needed to provide the necessary person-centered care and services the residents require. assessment helps ensure that facilities have an efficient process for consistently assessing and document necessary resources and staff that the facility requires to provide ongoing care for its population and the specific needs of its residents.

Overview of the Assessment Tool

This is an optional tool provided for nursing facilities and, if used, it may be modified. Each facility has the flexibility to decide the best way to comply with this requirement to ensure the needs of its unique resident population are met.

The tool is organized in three parts:

- Resident population including:**
 - The number of residents and the facility's capacity.
 - The care required by the resident population using evidence-based, data-driven methods, types of diseases and conditions, physical and behavioral health needs, cognitive disability, and other pertinent facts that are present within that population consistent with individual resident assessments.
 - Staff competencies and skill sets necessary to provide the level and types of care needed for this population.
 - The physical environment, equipment, services, and other physical plant considerations to care for this population.
 - Any ethnic, cultural, or religious factors that may potentially affect the care provided, but not limited to, activities and food and nutrition services.
- Facility's resources including:**
 - All buildings and/or other physical structures and vehicles.
 - Equipment (medical and non-medical).
 - Services provided, such as physical therapy, pharmacy, and specific rehabilitative services.

Disclaimer: Use of this tool is not mandated by CMS, nor does its completion ensure regulatory compliance. No permissions are required to edit the contents of this tool to meet your needs.

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Facility Assessment Tool

Facility Name	
Persons (names/ titles) involved in completing assessment	Administrator: DON: Governing body rep: Medical director: Licensed nurse: CNA: Direct care staff representative: Other(s) (e.g., dining services, housekeeping, infection prevention or Quality Assurance & Performance Improvement (QAPI) nurse): Resident/resident representative:
Date(s) of assessment or update	
Date(s) assessment reviewed with Quality Assessment & Assurance (QAA)/QAPI committee	

1. The Facility's Resident Population

Numbers

- Indicate the number of residents the facility is licensed to care for (enter number of beds). Recommend differentiating between long-stay and short-stay residents or other categories (e.g., unit floors or specialty areas or units, such as those that provide care and support for persons with dementia or using ventilators).
- Indicate the facility's average daily census (enter a range). Recommend differentiating between long-stay and short-stay residents or other categories (e.g., unit floors or specialty areas or units, such as those that provide care and support for persons with dementia or using ventilators). Consider if it would be helpful to describe the number of persons admitted and discharged, including high peak times or shifts for admissions and discharges, as these processes can impact staffing needs. Use evidence-based, data-driven methods to capture the information needed.
- Indicate the facility's demographics of its residents, including age range, gender, and race.

	Number (enter average or range) of persons admitted	Number (enter average or range) of persons discharged
Weekday		
Weekend		

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<https://bit.ly/SNFfacilityassessmentTool>

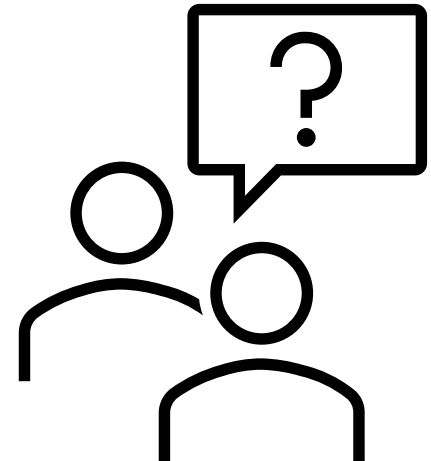
Still Have Questions?

Next Office Hours

- Wednesday, July 31 | 12:30 p.m. PT
- Wednesday, August 7 | 12:30 p.m. PT

Register at:

<https://bit.ly/NHfacilityassessmentOfficeHours2024>





Thank you!

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Disclaimer

This material was prepared by Health Services Advisory Group (HSAG), a Quality Innovation Network-Quality Improvement Organization (QIN-QIO) under contract with the Centers for Medicare & Medicaid Services (CMS), an agency of the U.S. Department of Health and Human Services (HHS). Views expressed in this material do not necessarily reflect the official views or policy of CMS or HHS, and any reference to a specific product or entity herein does not constitute endorsement of that product or entity by CMS or HHS. Publication No. QN-12SOW-07232024-01